# W-9 Request for Taxpayer Identification Number and Certification

**Form W-9 (Rev. October 2018)**
Department of the Treasury
Internal Revenue Service

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### Part I Taxpayer Identification Number (TIN)

**Social security number**

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**Employer identification number**

| 5 | 6 | 0 | 7 | 9 | 1 | 2 | 2 | 8 |

### Part II Certification

**Date**: 4 January 2021

1. The number shown on this form is my correct taxpayer identification number (or I am waiting for a number to be issued to me); and
2. I am not subject to backup withholding because: (a) I am exempt from backup withholding, or (b) I have not been notified by the Internal Revenue Service (IRS) that I am subject to backup withholding as a result of a failure to report all interest or dividends, or (c) the IRS has notified me that I am no longer subject to backup withholding; and
3. I am a U.S. citizen or other U.S. person (defined below); and
4. The FATCA code(s) entered on this form (if any) indicating that I am exempt from FATCA reporting is correct.

**Certification instructions**: You must cross out item 2 above if you have been notified by the IRS that you are currently subject to backup withholding because you have failed to report all interest and dividends on your tax return. For real estate transactions, item 2 does not apply. For mortgage interest paid, acquisition or abandonment of secured property, cancellation of debt, contributions to an individual retirement arrangement (IRA), and generally, payments other than interest and dividends, you are not required to sign the certification, but you must provide your correct TIN. See the instructions for Part II, later.

### General Instructions

Section references are to the Internal Revenue Code unless otherwise noted.

**Future developments**: For the latest information about developments related to Form W-9 and its instructions, such as legislation enacted after they were published, go to www.irs.gov/FormW9.

**Purpose of Form**

An individual or entity (Form W-9 requester) who is required to file an information return with the IRS must obtain your correct taxpayer identification number (TIN) which may be your social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN), to report on an information return the amount paid to you, or other amount reportable on an information return. Examples of information returns include, but are not limited to, the following:

- Form 1099-INT (interest earned or paid)
- Form 1099-DIV (dividends, including those from stocks or mutual funds)
- Form 1099-MISC (various types of income, prizes, awards, or gross proceeds)
- Form 1099-B (stock or mutual fund sales and certain other transactions by brokers)
- Form 1099-S (proceeds from real estate transactions)
- Form 1099-K (merchant card and third party network transactions)
- Form 1098 (home mortgage interest), 1098-E (student loan interest), 1098-T (tuition)
- Form 1099-C (canceled debt)
- Form 1099-A (acquisition or abandonment of secured property)

Use Form W-9 only if you are a U.S. person (including a resident alien), to provide your correct TIN.

**If you do not return Form W-9 to the requester with a TIN, you might be subject to backup withholding. See What is backup withholding, later.**

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**Print or Type**

- See Specific Instructions on page 3.
- Print or type in black ink only.

**Requestor's name and address (optional)**

<table>
<thead>
<tr>
<th>State Government/Education</th>
</tr>
</thead>
<tbody>
<tr>
<td>9201 University City Boulevard</td>
</tr>
<tr>
<td>Charlotte, NC 28223</td>
</tr>
</tbody>
</table>

**University of North Carolina at Charlotte**

<table>
<thead>
<tr>
<th>Name (as shown on your income tax return). Name is required on this line; do not leave this line blank.</th>
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<tbody>
<tr>
<td>Exempt payee code (if any)</td>
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<tr>
<td>Exemption from FATCA reporting code (if any)</td>
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**Exemptions**

- (codes apply only to certain entities, not individuals; see instructions on page 3): 3
- (Applies to accounts maintained outside the U.S.) C